

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: M. KAYASHIMA et al.

Serial No.: 09/859,429

Filed: May 18, 2001

For: SECURITY MANAGEMENT SYSTEM AND SECURITY MANAGING  
METHOD

Group: 5340

Examiner: Nadia Khoshnoodi

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In the matter of the above-identified application, Applicants are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the non-patent document listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This information disclosure statement is being submitted before the mailing date of a first Office Action on the merits and is accompanied by the following certification specified in 37 CFR §1.97(e):

On information and belief, I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR §1.98(a)(3)

for a concise explanation of the relevance is satisfied by an English language abstract and a translation of the documents.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Mattingly, Stanger, Malur & Brundidge, Deposit Account No. 50-1417 (referencing attorney docket no. 566.39530VX1) please credit any excess fees to such deposit account.

Respectfully submitted,

MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C.

/DONNA K. MASON/  
Donna K. Mason  
Registration No. 45,692

DKM/jab  
(703) 684-1120